

111/\$1,030.00

Patent  
Case No.: MJ 536

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Bristol-Myers Squibb Company  
**U.S. Patent No.:** 4,338,317  
**Issue Date:** July 6, 1982  
**For:** Phenoxyethyl-1,2,4-Triazol-3-one Antidepressants  
**Inventors:** Davis L. Temple Jr.; Walter G. Lobeck, Jr.

APPLICATION FOR EXTENSION OF PATENT TERM UNDER 35 USC 156

**RECEIVED**

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

JAN 20 1995

ST. LOUIS, MISSOURI OFFICE  
PATENTS

Dear Sir:

In accordance with the provisions of 35 USC 156, Bristol-Myers Squibb Company, a corporation of the state of Delaware, having a place of business at 5 Research Parkway, Wallingford, Connecticut 06492-7660, hereby applies for an extension of two years of the term of United States Patent No. 4,338,317 issued July 6, 1982.

The following items are relevant and follow the guidelines set forth by the United States Patent and Trademark Office Rules of Practice; 37 CFR §1.710, et seq.

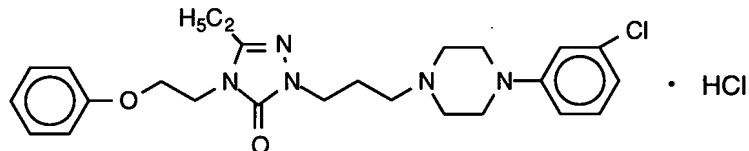
- 1) This application for extension is based upon the regulatory review period before the Food and Drug Administration of SERZONE®. SERZONE is the trademark of Bristol-Myers Squibb Company for an antidepressant drug product having as its active ingredient nefazodone

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02-3850 030 111 1,030.00CH

hydrochloride. The package insert for SERZONE is enclosed herewith as Appendix 1.

Nefazodone hydrochloride is designated chemically as 2-[3-[4-(3-chlorophenyl)-1-piperazinyl]propyl]-5-ethyl-4-(2-phenoxyethyl)-2H-1,2,4-triazol-3(4H)-one, hydrochloride salt, and has the following structure



- 2) Regulatory review of SERZONE occurred under Section 505 of the Federal Food, Drug and Cosmetic Act (21 USC 355).
- 3) SERZONE received permission for commercial marketing and use under Section 505 of the Federal Food, Drug and Cosmetic Act on December 22, 1994.
- 4) Nefazodone hydrochloride is the only active ingredient in SERZONE. Nefazodone hydrochloride has not been previously approved for commercial marketing or use under the Federal Food, Drug and Cosmetic Act, the Public Health Service Act or the Virus-Serum-Toxin Act.
- 5) This application for extension of the term of United States Patent No. 4,338,317 is being submitted within the 60 day period permitted for submission pursuant to 37 CFR §1.720(f) beginning on December 22, 1994. The last day on which the application could be submitted is February 20, 1994.
- 6) This application for extension of patent term seeks to extend the term of United States Patent No. 4,338,317 issued July 6, 1982, which unless extended will expire on March 16, 2001, under provisions of the recently enacted Uruguay Round Agreements Act. This patent has not previously been extended.

The inventors named in the patent are Davis L. Temple, Jr. and Walter G. Lobeck, Jr. The patent is owned by Bristol-Myers Squibb Company by means of an assignment to a wholly-owned subsidiary, Mead Johnson and Company. The pertinent assignment was recorded on June 12, 1981 in the United States Patent and Trademark Office at Reel 3860, Frame 0473.

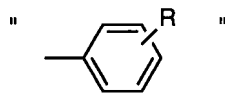
7) Attached hereto as Appendix 2 is a copy of United States Patent 4,338,317.

8) No disclaimers, certificates of correction, or reexamination certificates have been filed or issued in United States Patent No. 4,338,317. Copies of receipts for maintenance fee payments issued by the USPTO on January 6, 1986; January 6, 1990; and January 6, 1994 are attached as Appendix 3.

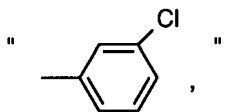
9) United States Patent No. 4,338,317 claims nefazodone hydrochloride, the active ingredient in SERZONE. The package insert for SERZONE shows that it is in tablet form. SERZONE is approved in tablet strengths of 50, 100, 200, 250 and 300 mg/tablet.

Claims 1 through 9 as allowed in United States Patent No. 4,338,317 each include nefazodone hydrochloride within its scope. Note in particular the structural formula set out in Claim 1.

In Claim 1,



can be



and a "pharmaceutically acceptable acid addition salt thereof" includes the hydrochloride salt. Thus, Claim 1 coverage of salts of nefazodone covers nefazodone hydrochloride. Claims 3, 6 and 9 specifically cover nefazodone hydrochloride, its antidepressant use, and its pharmaceutical compositions, respectively.

A description of each claim of U.S. Patent No. 4,338,317 follows.

Claim 1 of U.S. Patent No. 4,338,317 generically covers nefazodone, the active base ingredient of the approved product SERZONE and several closely related congeners and their pharmaceutical salts.

Claim 2 specifically covers the base form of nefazodone, the active ingredient in the approved product, SERZONE.

Claim 3 covers nefazodone hydrochloride, the salt form used in the approved product SERZONE.

Claim 4 covers the method of use of nefazodone and related compounds as given in Claim 1 for treating a mammal afflicted with depression.

Claim 5 covers the use of the free base form of nefazodone in the method of Claim 4.

Claim 6 covers the use of nefazodone hydrochloride according to the method of Claim 4.

Claim 7 covers a pharmaceutical composition comprising an antidepressant amount of a compound set forth in Claim 1.

Claim 8 covers a pharmaceutical composition comprising an antidepressant amount of the free base nefazodone according to the pharmaceutical composition of claim 7.

Claim 9 covers the pharmaceutical composition of claim 7 comprising an antidepressant amount of nefazodone hydrochloride.

10) The relevant dates and information pursuant to 35 USC 156(g) that will enable the Secretary of Health and Human Services to determine the applicable regulatory review period are as follows:

For 35 USC 156(g)(1)(B)(i)-

The Notice of Claimed Investigational Exemption for a New Drug (IND number 20-993) for nefazodone hydrochloride, under the provisions of Section 505(i) of the Federal Food, Drug and Cosmetic Act, was filed on October 15, 1982, and became effective on November 17, 1982.

The New Drug Application (number 20-152) for SERZONE, under Section 505(b) of the Federal Food, Drug and Cosmetic Act, was filed on September 6, 1991.

For 35 USC 156(g)(1)(B)(ii)-

The New Drug Application (number 20-152) for SERZONE, under Section 505(b) of the Federal Food, Drug and Cosmetic Act, was filed on September 6, 1991.

The New Drug Application (number 20-152) for SERZONE, under Section 505(b) of the Federal Food, Drug and Cosmetic Act, was approved on December 22, 1994.

11) The following is a brief description of certain significant activities undertaken by Bristol-Myers Squibb Company during the applicable regulatory review period with respect to SERZONE including the dates applicable to such activities. Numerous other activities occurred which are not being listed here but are set forth in chronologies attached as Appendices 4 and 5. Continuing from the date of the final use in humans through the time of FDA approval, there were clinical studies in progress and/or being planned, with regular and frequent communications between Bristol-Myers Squibb Company and the FDA, and between Bristol-Myers Squibb Company and its clinical investigators.

- |                   |   |   |
|-------------------|---|---|
| October 15, 1982  | - | Investigational New Drug Application 20-993 was filed. This provided for initial clinical studies under Protocol 030A2-001. |
| November 22, 1982 | - | The first use in humans in the United States.   |
| March 13, 1990    | - | "End of Phase II" meeting is held with the FDA to discuss the further clinical development of nefazodone HCl.               |
| February 11, 1991 | - | "Pre-NDA" meeting is held to discuss content and format of proposed New Drug Application (NDA) for nefazodone HCl.          |
| March 27, 1991    | - | Meeting is held with FDA to discuss the manufacturing and controls sections of proposed NDA for nefazodone HCl.             |

- September 6, 1991 - New Drug Application for SERZONE (nefazodone HCl) is submitted.
- January 7, 1992 - FDA requests additional statistical analyses of data from certain placebo-controlled trials.
- January 17, 1992 - Safety Update No. 1 is submitted.
- January 30, 1992 - Meeting with FDA to discuss computer systems that will be provided in an effort to expedite the review of the NDA.
- February 26, 1992 - Additional statistical analyses requested on January 7, 1992 are submitted.
- June 18, 1992 - Teleconference is held with FDA to discuss, *inter alia*, response to request for additional statistical analyses.
- July 19, 1993 - Psychopharmacologic Drugs Advisory Committee discusses SERZONE and recommends approval.
- October 28, 1992 - Safety Update No. 2 is submitted.
- November 7, 1994 - FDA letter is received that indicates FDA has completed its review and concludes that SERZONE NDA is approvable.
- November 17, 1994 - BMS submits response to Approvable letter including additional safety data.
- November 23, 1994 - Revised draft labeling is submitted.

- December 8, 1994 - Final labeling is negotiated with  
FDA at meeting.
- December 22, 1994 - NDA No. 20-152 for SERZONE is  
approved.



12) It is the opinion of Bristol-Myers Squibb Company that United States No. 4,338,317 is eligible for a two-year extension of its term since:

(a) It claims the composition of matter of the active ingredient nefazodone hydrochloride, pharmaceutical compositions and antidepressant use of the approved human drug product, SERZONE;

(b) The term of said patent has never been previously extended;

(c) The application for extension of patent term is submitted by the owner of the patent, Bristol-Myers Squibb Company;

(d) The product, SERZONE, has been subject to regulatory review prior to commercial marketing or use;

(e) The product received permission for commercial marketing or use on December 22, 1994 and the application for patent term extension has been submitted within 60 days from that date;

(f) The term of the patent has not expired prior to this date of application; and

(g) No other patent term has been extended for the same regulatory review period for this product.

The length of extension claimed was determined in accordance with 35 USC §156(g) and 37 CFR §1.775(d). Since the subject patent, United States Patent No. 4,338,317 was issued prior to the 1984 enactment of §156 and the clinical investigation under IND 20-993 also commenced prior to the 1984 enactment date, the period of extension based on the regulatory review may not exceed two years.

The total extension time comprises one-half of the sum total of days of the testing and approval periods. In the present case, the pertinent dates are:

Patent issued: July 6, 1982  
Testing period began: November 17, 1982  
NDA submitted: September 6, 1991  
NDA approved: December 22, 1994

Calculation of the total extension time pursuant to 37 CFR §1.775(d)(4) yields 2210 days according to the formula:

$$\frac{1}{2} \times \left[ 3215 \left( \begin{array}{l} \text{number of days from IND} \\ \text{to submission of NDA} \end{array} \right) + 1204 \left( \begin{array}{l} \text{number of days from NDA} \\ \text{submission to NDA approval} \end{array} \right) \right]$$

However, 37 CFR §1.775(d)(6)(ii)(A) applies and provides an extension period limited to two years. Since it is the earlier date which is to be applied, the extension period being sought therefore is for a two-year period.

13) Bristol-Myers Squibb Company and the undersigned acknowledge a duty to disclose to the Commissioner of Patents and Trademarks and the Secretary of Health and Human Services any information that is material to the determination of entitlement to the extension sought.

14) Authorization in accordance with 37 CFR §1.20(j) is given to charge the One Thousand Dollar (\$1,000.00) fee for receiving and acting upon the application for extension to Deposit Account No. 02.3850. In the event the actual fee differs from this amount, it is requested that the overpayment or underpayment be credited or charged to Deposit Account No. 02.3850.

15) The name, address, and telephone number of the person to whom inquiries and correspondence relating to this application for patent term extension should be directed is:

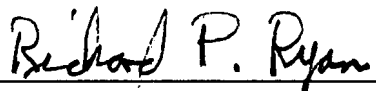
Richard P. Ryan  
Bristol-Myers Squibb Company  
P.O. Box 5100  
Wallingford, CT 06492  
Phone: 203-949-3723

16) A duplicate copy of this application, certified as such, is enclosed.

17) A signed declaration by a representative of Bristol-Myers Squibb Company is submitted herewith in compliance with 37 CFR 1.740(a)(17).

Respectfully submitted,

Dated: 19 Jan 95

  
Richard P. Ryan  
Registration No. 30,491  
Attorney for Applicants  
Bristol-Myers Squibb Company  
P. O. Box 5100  
Wallingford, CT 06492-7660  
Phone: (203) 949-3723

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Bristol-Myers Squibb Company  
**U.S. Patent No.:** 4,338,317  
**Issue Date:** July 6, 1982  
**For:** Phenoxyethyl-1,2,4-Triazol-3-one Antidepressants  
**Inventors:** Davis L. Temple Jr.; Walter G. Lobeck, Jr.

DECLARATION IN ACCORDANCE WITH 37 CFR §1.740(b)

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

I, Richard P. Ryan, residing at Middletown, Connecticut, declare as follows:

1. That I am an assistant patent counsel of Bristol-Myers Squibb Company, a corporation of the state of Delaware, having a place of business at 5 Research Parkway, Wallingford, Connecticut 06492-7660; I am an attorney registered to practice in the United States Patent and Trademark Office under registration no. 30,491 and I have general authority from Bristol-Myers Squibb Company to act on its behalf in patent matters.
2. That Bristol-Myers Squibb Company is the owner of the entire right, title and interest in United States Patent No. 4,338,317.
3. That I have reviewed and understand the contents of the Application for Extension of Patent Term Under 35 USC 156 for United States Patent No. 4,338,317 which is submitted herewith.
4. That I believe that the above-identified patent is subject to an extension pursuant to 37 CFR §1.710.

5. That I believe that a two-year extension of the term of the patent is fully justified under 35 USC 156 and the applicable regulations.

6. That I believe that the patent for which the extension is being sought meets the conditions for extension of the term of a patent as set forth in 37 CFR §1.720.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application for extension of patent term and the validity of United States Patent No. 4,338,317.

Respectfully submitted,

Dated: 19 Jan 95

Richard P. Ryan  
Richard P. Ryan  
Registration No. 30,491  
Attorney for Applicants  
Bristol-Myers Squibb Company  
P. O. Box 5100  
Wallingford, CT 06492-7660  
Phone: (203) 949-3723

**Inventors:** Davis L. Temple Jr.; Walter G. Lobeck, Jr.  
**Applicant:** Bristol-Myers Squibb Company  
**U.S. Patent No.:** 4,338,317  
**Issue Date:** July 6, 1982  
**For:** PHENOXYETHYL-1,2,4-TRIAZOL-3-ONE  
ANTIDEPRESSANTS

- 1) Application for Extension of Patent Term Under 35 U.S.C. 156, with attachments
  - (i) Declaration
  - (ii) SERZONE® Package Insert (Appendix 1)
  - (iii) U.S. Patent 4,338,317 (Appendix 2)
  - (iv) Receipts for maintenance fee payments (Appendix 3)
  - (v) Chronology - Post IND Activities (Appendix 4)
  - (vi) Chronology - NDA Activities (Appendix 5)
- 2) Certified copy of above
- 3) Three courtesy copies of above

**RECEIVED**

**JAN 20 1995**

**ST. LOUIS OFFICE  
PATENTS**

(ii)

## APPENDIX 1

SERZONE® package insert







# Computer Patent Annuities

PATENT DESIGN & TRADE MARK RENEWALS WORLDWIDE

House, Seaton Place, St. Helier, Jersey Channel Islands.

BRISTOL — MYERS Patent Department (N.Y.)

ATTN: MS MARY B. STAWASZ

PATENT COUNSEL

45 PARK AVENUE

NEW YORK N.Y. 10154

U.S.A.

Account 78100

1474

Copy

Patent No.

Annuity

Your Reference

Patentee

HALF FEES)

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Date 25 JAN 8

TELEPHONE: 0534 75101

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FACSIMILE: 0534 74210, ITT GROUPS 2/3 (24 HOURS)

## OFFICIAL RECEIPT/RENEWAL CERTIFICATE

We enclose the official receipt for the following patent. This document should be kept in a safe place in case proof of renewal is required at any time. If you would like your official receipts kept and stored here in future, please let us know by signing and returning this letter: a fee of £1 for this service would then be added to each future invoice for annuities paid on your account.

R. S. CHINNERY, B.Sc. CPA

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L. JANET GRAY, B.Sc. MITMA, CPA

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C. MASSEY, MITMA, CPA

T. N. GORDON, MA, CPA

D. G. TAYLOR, B.Sc. CPA

G. E. SPENCER, MA, CPA

SHEILA F. LESLEY, MA, MITMA, CPA

P. M. GOODE, B.Sc. MIM, CPA

P. M. GOODE, B.Sc. MIM, CPA

W. J. A. BEESTON, MA, MITMA, CPA

M. J. ROOS, CPA

S. J. COLGAN, B.Sc. ARCS, Ph.D. DIC, CPA

P. D. WRIGHT, MA, CPA

G. K. JENNINGS, MA, CPA

D. R. FENTIMAN, MITMA, CPA

O. J. R. ALLEN, MA, CPA

O. G. GELDARD, B.Sc. CPA

P. COXON, B.Sc. CPA

J. ONSLOW

S. J. L. MAN, BA

G. W. HUGHES, B.Tech. MI

# Computer Patent Annuities

PATENT DESIGN & TRADE MARK RENEWALS WORLDWIDE

St Helier Jersey Channel Islands

Computer Patent Annuities Inc.  
Jefferson Davis Highway  
Suite 2000 Arlington VA 22202

C/O RCW  
COMMISSIONER OF PATENTS  
BOX M. FEE  
WASHINGTON D.C. 20231

PAYOR NUMBER 000107

Account no. 08890

Date 19/12/85

## INSTRUCTION ANWEISUNG

Please maintain the undermentioned cases and forward to us the official renewal certificates as soon as possible.

Veillez maintenir en vigueur les affaires mentionnées ci-dessous et nous envoyer les certificats de renouvellement aussitôt que possible.

Bitte halten Sie die unten bezeichneten Angelegenheiten aufrecht und senden Sie uns die amtlichen Empfangsbescheinigungen möglichst bald zu.

Country	Patent No.	Due Date	Annulity	Applicant / Patentee	Serial No. Filing Date	Cost
USA (HALF FEES)	4338317	JAN.06	4	MEAD JOHNSON+CO	-244464-16MAR81	225.00
USA (HALF FEES)	4338373	JAN.06	4	MITSUBISHI GAS	-217919-18DEC80	225.00
USA (HALF FEES)	4338378	JAN.06	4	DENKI KAGAKU	-289091-31JUL81	225.00
USA (HALF FEES)	4338385	JAN.06	4	SUMITOMO METAL	-271088-05JUN81	225.00
TOTAL:						\$3375.00

ALL PATENTS DATED July 06, 1982

YOUR CHEQUE FOR \$3375.00 in payment of the maintenance fees due on the above patents is enclosed herewith. Please stamp and return the enclosed copy of this letter as confirmation of receipt.

Yours faithfully

R. C. Walker

FEB 13 1986  
RPM  
STAMPA CHANCILLIE

P. COOPER, B.Sc. CPA  
J. J. WOOD, B.Sc. CPA  
J. J. WOOD, B.Sc. CPA  
J. J. WOOD, B.Sc. CPA  
J. J. WOOD, B.Sc. CPA  
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R. S. CHURCH, B.Sc. CPA  
R. S. CHURCH, B.Sc. CPA

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D. C. 20231PAYOR NUMBER  
000197COMPUTER PATENT ANNUITIES  
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SUITE 514  
ARLINGTON, VA 22202DATE MAILED  
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J344**MAINTENANCE FEE STATEMENT**

The data shown below is from the records of the Patent and Trademark Office. If the maintenance fees and any necessary surcharges have been timely paid for the patents listed below, the notation "PAID" will appear in column 10, "status" below.

If a maintenance fee payment is defective, the reason is indicated by code in column 10, "status" below. An explanation of the codes appears on the reverse of the Maintenance Fee Statement. **TIMELY CORRECTION IS REQUIRED IN ORDER TO AVOID EXPIRATION OF THE PATENT. NOTE 37 CFR 1.377. THE PAYMENT(S) WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION. IF PAYMENT OR CORRECTION IS SUBMITTED DURING THE GRACE PERIOD, A SURCHARGE IS ALSO REQUIRED. NOTE 37 CFR 1.20(k) and (l).**

If the statement of small entity status is defective the reason is indicated below in column 10 for the related patent number. **THE STATEMENT OF SMALL ENTITY STATUS WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION.**

ITH NBR	PATENT NUMBER	FEE CODE	FEE AMOUNT	SUR CHARGE	SERIAL NUMBER	PATENT DATE	FILE DATE	PAY YR	SM ENT	STAT
1	4,338,317	171	495	----	06/244,449	07/06/82	03/16/81	08	NO	PAID
2	4,338,366	171	495	----	06/244,567	07/06/82	03/17/81	08	NO	PAID

If the "status" column for a patent number listed above does not indicate "PAID" a code or an asterisk (\*) will appear in the "status" column. Where an asterisk (\*) appears, the codes are set out below by the related item number. An explanation of the codes indicated in the "status" column and as set out below by the related item number appears on the reverse of the maintenance fee statement.

ITH NBR	ATTY DKT NUMBER
1	MJ 536
2	2508R2C

DIRECT THE RESPONSE TOGETHER WITH PART B OF THIS NOTICE, AND ANY QUESTIONS ABOUT THIS NOTICE TO:  
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX M, FES, WASHINGTON, DC 20231

\$ 495.00

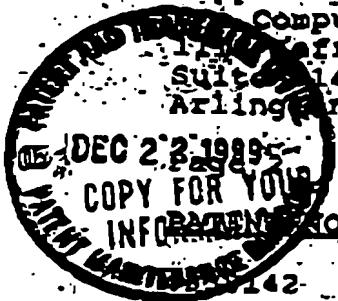
171 PENDING

Computer Patent Annuities  
Computer Patent Annuities, Inc.  
1111 Jefferson Davis Highway  
Suite 116  
Arlington, VA 22202

Payor Number 000197

December 22, 1989

REEL 234 FRAME 1891



PATENT NO.	SERIAL NO.	PATENT DATE	FILING DATE	AMOUNT
4338142	226090	06 Jul 1982	19 Jan 1981	495.00
4338143	248419	06 Jul 1982	27 Mar 1981	495.00
4338211	252691	06 Jul 1982	09 Apr 1981	495.00
4338245	292118	06 Jul 1982	12 Aug 1981	495.00
4338246	295163	06 Jul 1982	21 Aug 1981	495.00
4338317	244464	06 Jul 1982	16 Mar 1981	495.00
4338366	244567	06 Jul 1982	17 Mar 1981	495.00
4338378	289091	06 Jul 1982	31 Jul 1981	495.00
4338456	235744	06 Jul 1982	18 Feb 1981	495.00
4338467	233148	06 Jul 1982	10 Feb 1981	495.00
4338471	217116	06 Jul 1982	17 Dec 1980	495.00
4338385	271088	06 Jul 1982	05 Jun 1981	495.00
4599712	475542	07 Jul 1986	15 Mar 1983	490.00
4590529	587272	20 May 1986	07 Mar 1984	490.00
				120.00
TOTAL				\$14,545.00

Please stamp and return the enclosed copy of this letter as confirmation of receipt of our payment.

Sincerely,

*Robert C. Walker*

Robert C. Walker

RCW/rk

Enclosures

090 12/27/89 4338317

2 171 495.00 CK

255



1985

## Computer Patent Annuities

PATENT, DESIGN & TRADE MARK RENEWALS WORLDWIDE  
TRADE MARK READING

PO Box 778 Jersey JE1 1BL Channel Islands

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ROBERT G. WALKER, MACPA  
MARTIN R. O'DONERTY, LL.M., M.T.M.A.

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Telex: 4182137 COPAN G  
Cable: COPAN, JERSEY

BRISTOL-MYERS SQUIBB  
ZBM/900 SERIES ST. DATE 1/7/84  
OFF RECEIPTS TO BE STORED BY  
C.P.A. FROM 1/2/90 NO DIV OR  
PCT IN TITLE FIELD SORT CODE  
40 OCTOBER 91

Our ref: 504786/OFRCPT

Your ref:

Date: 03 FEB 1994

Dear Sir

Re: Your case detailed below:

Country Name:	U.S.A.
Type Name:	Patent
Client's Reference:	MJ0536-
Patentee:	MEAD JOHNSON+CO
Patent No.:	4338317
Base date:	06 JUL 1982
Client no.:	0859207

Annuity: 3

We enclose the official receipt for the payment of the annuity detailed above. This document should be kept in a safe place in case proof of renewal is required at any time. If you would like your official receipts stored by CPA in future, please let us know by signing and returning this letter: a fee of £1 for this service will then be added to each future invoice for annuities paid on your account.

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Computer Patent Annuities

575

RECEIPT OF ACCEPTABLE CORRECTION.

ITM NBR	PATENT NUMBER	FEE CDE	FEE AMOUNT	SUR CHARGE	SERIAL NUMBER	PATENT DATE	FILE DATE	PAY YR	SML ENT	STAT
1	4,338,317	185	2820	----	06/244.464	07/06/82	03/16/81	12	NO	PAID

If the "status" column for a patent number listed above does not indicate "PAID" a code or an asterisk (\*) will appear in the "status" column. Where an asterisk (\*) appears, the codes are set out below by the related item number. An explanation of the codes indicated in the "status" column and as set out below by the related item number appears on the reverse of the maintenance fee statement.

ITM NBR	ATTY DKT NUMBER
1	MJ 536

DIRECT THE RESPONSE TOGETHER WITH ANY QUESTIONS ABOUT THIS NOTICE TO:  
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C/O COMPUTER PATENT ANNUITIES, INC.  
1111 JEFFERSON DAVIS HIGHWAY  
SUITE 514, CRYSTAL GATEWAY NORTH  
ARLINGTON, VA 22202

DATE MAILED  
01/10/94

## **MAINTENANCE FEE STATEMENT**

The data shown below is from the records of the Patent and Trademark Office. If the maintenance fees and any necessary surcharges have been timely paid for the patents listed below, the notation "PAID" will appear in column 10, "status" below.

If a maintenance fee payment is defective, the reason is indicated by code in column 10, "status" below. An explanation of the codes appears on the reverse of the Maintenance Fee Statement. **TIMELY CORRECTION IS REQUIRED IN ORDER TO AVOID EXPIRATION OF THE PATENT. NOTE 37 CFR 1.377. THE PAYMENT(S) WILL BE ENTERED UPON RECEIPT OF ACCEPTABLE CORRECTION. IF PAYMENT OR CORRECTION IS SUBMITTED DURING THE GRACE PERIOD, A SURCHARGE IS ALSO REQUIRED. NOTE 37 CFR 1.20(k) and (l).**

If the statement of small entity status is defective the reason is indicated below in column 10 for the related patent number. **THE STATEMENT OF SMALL ENTITY STATUS WILL BE ENTERED UPON**



<b>IND 20993 - NEFAZODONE HCl</b> <b>CHRONOLOGY OF POST-IND COMMUNICATIONS</b>		
<b><u>DATE</u></b>	<b><u>TYPE OF CONTACT</u></b>	<b><u>SUMMARY</u></b>
10/15/82	Original IND	IND is filed. Includes Protocol 030A2-001.
10/22/82	FDA Letter	FDA acknowledges receipt of the IND and assigns IND #20,993 to it.
12/09/82	FDA Letter	FDA comments and suggestions following review of original IND.
04/19/83	CMC Amendment	Response to FDA's letter of 12/09/82 - Chemistry Section.
06/28/83	Protocol Amendment	Protocol 030A2-0001 is submitted.
06/28/83	Letter to FDA	Copy of U.S.A.N. letter listing "Nefazodone" as designated name for MJ13754.
06/28/83	Information Amendment - Clinical	Updated Basic Data Brochure is submitted.
07/12/83	FDA Letter	FDA comments and suggestions pertaining to 04/19/83 chemistry responses.
11/21/83	Annual Report	Summaries of studies 030A2-001-1509 and 030A2-001-1576.
08/28/84	Protocol Amendment	Protocol 030A2-0002 is submitted.
10/26/84	CMC Amendment	CMC information pertaining to two control agents, 25 mg Imipramine tablets and 25 mg trazadone tablets, is submitted.
11/06/84	Information Amendment - Clinical	Investigator's Report on study 1509 is submitted.
12/05/84	Annual Report	Contains a summary of studies 1509 and 1576 and plans for Protocol 030A2-0002.
01/23/85	Information Amendment - Pharmacology/Toxicology	Report No. JOHN-RE-09241 and Report No. ELRO-SV-09223 is submitted.
05/07/85	Protocol Amendment	Protocol 030A2-0004 is submitted.
05/15/85	Information Amendment - Clinical	Report No. LAND-CL-10576 is submitted.
05/15/85	Protocol Amendment	Protocol 030A2-0006 is submitted.
05/20/85	Protocol Amendment	Protocol 030A2-0005 is submitted.
06/13/85	Information Amendment - Pharmacology/Toxicology	Submission of 4 non-clinical pharmacology reports, 5 toxicology reports and 1 preclinical MAP report.
06/13/85	Information Amendment - Clinical	Clinical Report No. LAND-CL-10576 is re-submitted.

**IND 20993 - NEFAZODONE HCl**  
**CHRONOLOGY OF POST-IND COMMUNICATIONS**

<u>DATE</u>	<u>TYPE OF CONTACT</u>	<u>SUMMARY</u>
08/30/85	FDA Letter	FDA comments on Clinical and Pharmacokinetic data previously submitted and recommendations concerning this data.
10/02/85	General Correspondence	Response to FDA letter of 08/30/85 regarding Clinical and Pharmacokinetic data previously submitted.
10/02/85	CMC Amendment	CMC Amendment containing revised synthesis, specifications and stability of drug substance and CMC pertinent to nefazodone and matching placebo capsules.
10/25/85	Information Amendment - Pharmacology/Toxicology	Report No. HAWK-HC-11023 is submitted.
10/25/85	Information Amendment - Clinical	Interim Clinical Report No. BARO-PE-10833 and Pharmacokinetic Report No. MAYO--RF-11006 are submitted.
11/18/85	Protocol Amendment	Protocol 030A2-0007 is submitted.
12/18/85	Protocol Amendment	Protocol 03A0B-002 is submitted.
01/02/86	FDA Letter	Comments on extension phase for planned studies.
02/27/86	Information Amendment - Clinical	Clinical pharmacology report on study 1885 is submitted (HEIM-LR-11343).
02/27/86	CMC Information	CMC information pertaining to 150 mg capsules is submitted.
02/27/86	Information Amendment - Pharmacology/Toxicology	Seven non-clinical pharmacology reports are submitted.
08/20/86	FDA Letter	FDA comments on the 10/25/85 submission of data on single and multiple dose pharmacokinetic study.
08/29/86	Information Amendment - Pharmacology/Toxicology	Two non-clinical pharmacology and 4 toxicology reports are submitted.
08/29/86	Annual Report	Status reports on all studies and a final report on study 1509 are submitted.
12/10/86	Information Amendment - Clinical	Basic data brochure is updated to include results of Phase II studies.
04/13/87	Protocol Amendment	Protocol 03A0A-004 is submitted.
05/06/87	Protocol Amendment	Protocol 03A0B-003 is submitted.
5/06/87	Information Amendment - Clinical	Report RUSS-JW-11761 is submitted.

**IND 20993 - NEFAZODONE HCl**  
**CHRONOLOGY OF POST-IND COMMUNICATIONS**

<u>DATE</u>	<u>TYPE OF CONTACT</u>	<u>SUMMARY</u>
05/06/87	Information Amendment - CMC	CMC information is submitted for <sup>14</sup> C-labeled Nefazodone formulations.
05/08/87	Information Amendment - Clinical	Submission of Preliminary Evidence of Efficacy.
06/03/87	FDA Letter	Regarding long-term extension phase of studies.
06/11/87	Annual Report	Consisting of updated summary of all studies currently filed with the IND.
06/15/87	General Correspondence	Response to FDA letter of 06/03/87.
07/24/87	FDA Letter	Comments regarding our 6/15/87 submission.
08/10/87	Information Amendment - Pharmacology/Toxicology	Three toxicology, 3 non-clinical pharmacology and 1 preclinical MAP reports are submitted.
09/15/87	Protocol Amendment	Protocol 03A8A-001 is submitted.
09/15/87	Information Amendment - CMC	CMC information supporting the use of nefazodone, trazodone, buspirone, and matching placebo capsules.
10/16/87	Protocol Amendment	Protocol 59B6A-001 is submitted.
10/16/87	Information Amendment - Pharmacology/Toxicology	Six non-clinical pharmacology reports are submitted.
10/16/87	Information Amendment - Clinical	Report ROBE-DL-25114, a preliminary report is submitted.
10/26/87	Safety Report	Initial written report.
11/23/87	FDA Letter	Regarding the enrollment of women of child bearing potential.
01/20/88	Annual Report	Contains status report on all clinical studies, pre-clinical and CMC activity.
01/20/88	Information Amendment - Clinical	Basic data brochure is updated with results of Open and Double-Blind Phase II studies.
07/07/88	Protocol Amendment	Protocol CN104-002 is submitted.
07/07/88	Information Amendment - CMC	CMC information in support of imipramine capsules used in clinical trials.
09/14/88	Information Amendment - Pharmacology/Toxicology	Report TAYL-DP-25249 is submitted.
12/14/88	Protocol Amendment	Protocol CN104-006 is submitted.
2/01/89	Annual Report	Includes 15 non-clinical summaries or study reports; three pharmacokinetic reports on studies 2553, 2146 and 2025; two clinical reports on studies 2025 and 2553; seven publications; ten published abstracts.

**IND 20993 - NEFAZODONE HCl**  
**CHRONOLOGY OF POST-IND COMMUNICATIONS**

<u>DATE</u>	<u>TYPE OF CONTACT</u>	<u>SUMMARY</u>
02/13/89	Protocol Amendment	Protocol CN104-005 is submitted.
09/29/89	Protocol Amendment	Protocol CN104-009 is submitted.
09/29/89	Information Amendment - Clinical	Updated basic data brochure is submitted. Contains results of open and double-blind Phase II studies.
10/04/89	Protocol Amendment	Protocol CN104-011 is submitted.
10/04/89	Information Amendment - CMC	CMC information in support of fluoxetine capsules used in clinical trials.
10/15/89	Information Amendment - Pharmacology/Toxicology	Report TAYL-DP-09224 - Pharmacology Summary is submitted.
11/07/89	Protocol Amendment	Protocol CN104-021 is submitted.
11/28/89	Information Amendment - CMC	CMC information in support of dextroamphetamine capsules and diazepam capsules to be used in clinical studies.
11/28/89	Protocol Amendment	Protocol CN104-015 is submitted.
11/28/89	Protocol Amendment	Protocol CN104-025 is submitted.
11/28/89	Protocol Amendment	Protocol CN104-023 is submitted.
11/28/89	Protocol Amendment	Protocol CN104-013 is submitted.
12/15/89	Information Amendment - CMC	CMC information in support of an oral nefazodone solution.
12/20/89	Information Amendment - Pharmacology/Toxicology	Report BRAS-JP-25416 is submitted.
01/10/90	Protocol Amendment	Protocol CN104-030 is submitted.
01/12/90	Information Amendment - CMC	CMC information supporting the use of cimetidine tablets in clinical trials.
01/18/90	Protocol Amendment	Protocol CN104-022 is submitted.
01/26/90	Protocol Amendment	Protocol CN104-017 is submitted.
3/13/90	FDA Meeting	End-of-Phase II meeting
03/22/90	Information Amendment - Pharmacology/Toxicology	Two non-clinical pharmacology study reports are submitted.
03/30/90	Information Amendment - Pharmacology/Toxicology	Report GEIS-MA-25360 is submitted.
03/30/90	Annual Report	Status report on all studies currently open under this IND along with summaries of pre-clinical and CMC activity.
07/15/90	Protocol Amendment	Protocol CN104-038 is submitted.

**IND 20993 - NEFAZODONE HCl**  
**CHRONOLOGY OF POST-IND COMMUNICATIONS**

<u>DATE</u>	<u>TYPE OF CONTACT</u>	<u>SUMMARY</u>
07/26/90	Safety Report	Initial written report.
08/17/90	Information Amendment - CMC	CMC information supporting drug substance; alternative manufacturing facilities for drug substance and drug products.
08/29/90	Protocol Amendment	Protocol CN104-035 is submitted.
09/20/90	Information Amendment - CMC	CMC information in support of the use of triazolam and haloperidol capsules in clinical studies.
09/20/90	Protocol Amendment	Protocol CN104-036 is submitted.
10/08/90	General Correspondence	Draft protocols CN104-043 and CN104-047 are submitted.
10/08/90	Protocol Amendment	Protocol CN104-037 is submitted.
10/24/90	Safety Report	Follow-up report.
10/30/90	Information Amendment - CMC	CMC information pertaining to the manufacture of deuterated nefazodone.
10/30/90	Protocol Amendment	Protocol CN104-043 (Finalized) is submitted.
11/07/90	General Correspondence	Request for a Pre-NDA meeting with the Agency.
11/12/90	Information Amendment - CMC	Response to an FDA request for dissolution data.
11/27/90	Information Amendment - CMC	CMC information pertaining to the D <sub>7</sub> -nefazodone for protocol CN104-047.
11/27/90	Protocol Amendment	Protocol CN104-047 (Finalized) is submitted.
01/03/91	Protocol Amendment	Protocol CN104-040 is submitted.
01/28/91	Protocol Amendment	Protocol CN104-053 is submitted.
02/07/91	Annual Report	Annual report is submitted.
2/11/91	FDA Meeting	Pre-NDA meeting
03/27/91	FDA Meeting	CMC Pre-NDA meeting
05/22/91	Protocol Amendment	Protocol CN104-903 is submitted.
06/26/91	Information Amendment - CMC	CMC Information providing for alternative packaging components; alternative packaging site; updated stability data; and CMC information pertaining to digoxin capsules and placebo tablets.
06/26/91	Protocol Amendment	Protocol CN104-057 is submitted.
06/28/91	Protocol Amendment	Protocol CN104-045 is submitted.
07/01/91	Protocol Amendment	Protocol CN104-058 is submitted.
08/05/91	Protocol Amendment	Protocol CN104-068 is submitted.

**IND 20993 - NEFAZODONE HCl**  
**CHRONOLOGY OF POST-IND COMMUNICATIONS**

<u>DATE</u>	<u>TYPE OF CONTACT</u>	<u>SUMMARY</u>
09/06/91	NDA	NDA is submitted- #20-152.
09/18/91	Protocol Amendment	Protocol CN104-054 is submitted.
10/08/91	Protocol Amendment	Protocol CN104-063 is submitted.
11/05/91	Protocol Amendment	Protocol CN104-069 is submitted.
11/06/91	Information Amendment - CMC	CMC Information: Revised synthesis of nefazodone drug substances; additional drug substance manufacturing site; placebo capsules; and alprazolam capsules.
11/19/91	Protocol Amendment	Protocol CN104-074 is submitted.
11/19/91	Protocol Amendment	Protocol CN104-056 is submitted.
12/02/91	Protocol Amendment	Protocol CN104-082 is submitted.
12/17/91	Information Amendment - Clinical	Updated Investigators Brochure incorporating overview of clinical findings from the NDA.
01/03/92	Protocol Amendment	Protocol CN104-081 is submitted.
01/17/92	Information Amendment - CMC	CMC information on lorazepam capsules and updated specifications for nefazodone drug substance.
02/18/92	Protocol Amendment	Protocol CN104-080 is submitted.
02/18/92	Protocol Amendment	Protocol CN104-076 is submitted.
03/17/92	Information Amendment - CMC	CMC Information on warfarin tablets.
03/17/92	Protocol Amendment	Protocol CN104-066 is submitted.
04/06/92	Protocol Amendment	Protocol CN104-075 is submitted.
04/24/92	Protocol Amendment	Protocol CN104-078 is submitted.
05/28/92	Annual Report	Annual Report is submitted.
09/15/92	Protocol Amendment	Protocol CN104-087 is submitted.
09/15/92	Protocol Amendment	Protocol CN104-064 is submitted.
09/22/92	Information Amendment - Clinical	Updated Investigators Brochure is submitted.
10/06/92	Protocol Amendment	Protocol CN104-077 is submitted.
10/09/92	Safety Report	Initial written report.
10/09/92	Information Amendment - Clinical	Addendum #4 to the Investigators Brochure.
10/26/92	Protocol Amendment	Protocol CN104-083 is submitted.
11/05/92	Protocol Amendment	Protocol CN104-101 is submitted.

**IND 20993 - NEFAZODONE HCl**  
**CHRONOLOGY OF POST-IND COMMUNICATIONS**

<u>DATE</u>	<u>TYPE OF CONTACT</u>	<u>SUMMARY</u>
11/17/92	Information Amendment - Pharmacology/Toxicology	One non-clinical pharmacology study report, 1 toxicology study report and 8 pre-clinical MAP study reports are submitted.
12/18/92	Information Amendment - CMC	Updated CMC information on drug substance and drug products; additional manufacturing site for drug substance and drug product.
01/13/93	Annual Report	Annual Report is submitted.
02/05/93	Protocol Amendment	Protocol CN104-092 is submitted.
02/19/93	Protocol Amendment	Protocol CN104-113 is submitted.
02/19/93	Protocol Amendment	Protocol CN104-110 is submitted.
02/19/93	Protocol Amendment	Protocol CN104-111 is submitted.
02/19/93	Information Amendment - CMC	CMC information on sertraline capsules to be used in clinical trials.
03/05/93	Protocol Amendment	Protocol CN104-115 is submitted.
03/08/93	Information Amendment - CMC	CMC information for nefazodone tablets and an additional packaging and labeling facility.
03/23/93	Protocol Amendment	Protocol CN104-104 is submitted.
03/23/93	Protocol Amendment	Protocol CN104-103 is submitted.
03/26/93	Protocol Amendment	Protocol CN104-088 is submitted.
04/02/93	Protocol Amendment	Protocol CN104-106 is submitted.
04/13/93	Protocol Amendment	Protocol CN104-105 is submitted.
04/13/93	Protocol Amendment	Protocol CN104-109 is submitted.
04/23/93	Protocol Amendment	Protocol CN104-114 is submitted.
05/07/93	Information Amendment - CMC	CMC information on imipramine capsules and an additional packaging site for clinical supplies.
07/23/93	Protocol Amendment	Protocol CN104-100 is submitted.
08/02/93	Safety Report	Initial written report.
08/06/93	Protocol Amendment	Protocol CN104-121 is submitted.
08/19/93	CMC Amendment	A new packaging site for nefazodone hydrochloride tablets is identified.
10/21/93	Protocol Amendment	Protocol CN104-119 is submitted.
01/28/94	Annual Report	Status report of investigations conducted under this IND for the period from 6/16/92 through 11/14/93.

**IND 20993 - NEFAZODONE HCl**  
**CHRONOLOGY OF POST-IND COMMUNICATIONS**

<u>DATE</u>	<u>TYPE OF CONTACT</u>	<u>SUMMARY</u>
03/04/94	Protocol Amendment	Protocol CN104-127 is submitted.
07/07/94	CMC Amendment	New positive control product for upcoming clinical trials.
07/25/94	Information Amendment-Toxicology	Non-clinical Report: Antigenicity Study in Guinea Pigs and Mice.
09/02/94	Protocol Amendment	Protocol CN104-029 is submitted.



NDA 20-152 SERZONE® (Nefazodone HCl) Tablets Chronology for Patent Term Extension		
Date	Type of Contact	Summary / Description
9/6/91	<u>Submission #001</u>	Original NDA is submitted (Volumes 1.1 - 1.277)
9/11/91	FDA Letter	Acknowledges receipt of NDA
9/20/91	FDA Letter	Acknowledges receipt of NDA and corrects "filing" date. If acceptable, "Filing date will be 11/6/91".
11/5/91	<u>Submission #002</u>	Expanded Table of Contents for the entire NDA is submitted, as requested.
11/15/91	<u>Submission #003</u>	Request for a meeting to discuss our proposals and present prototypes of the computer systems we will provide for the electronic submission of portions of the NDA.
1/7/92	FDA Letter	FDA letter requesting reanalysis of certain placebo-controlled studies.
1/17/92	<u>Submission No.004</u>	First Safety Update is submitted
1/21/92	<u>Submission No.005</u>	Agenda for 1/30/92 meeting regarding the demonstration of the computer systems prototypes for the electronic submission of portions of the NDA.
1/30/92	FDA Meeting	Presentation of the prototypes of the computer systems for Document Review (WP5.1) and Image Review (CRFs) that will be loaned to the Division.
2/14/92	FDA Meeting	Installation of Case Report Forms from Safety Update No. 1 to the Image Review Computer System.
2/20/92	<u>Submission No. 006</u>	Tumor data from carcinogenicity studies are submitted in response to a 1/31/92 request from the Agency.
2/26/92	<u>Submission No. 007</u>	Response to the 1/7/92 letter requesting additional statistical analyses.
2/27/92	<u>Submission No. 008</u>	Replacement pages for 2 appendices for the final study report for Protocol CN104-005.
3/16/92	<u>Submission #009</u>	Replacement pages for integrated safety summary (Volume 1.188).
3/18/92	<u>Submission #010</u>	WP5.1 documents on diskette of NDA section 6 (Human Biopharmaceutics) reports and summaries for the Biopharmaceutics reviewer(s).
3/31/92	<u>Submission #012</u>	CMC Amendment - Revised Environmental Assessment Report.
4/3/92	<u>Submission #011</u>	Amendment No. 3 to Report LEMA-P-12909 to correct for errors found while preparing the electronic data for submission (Submission No. 006).
4/15/92	<u>Submission #013</u>	Proposal for submission of individual displays of safety data as electronic images.
4/30/92	<u>Submission #014</u>	Response to request for dose and duration of treatment displays.

**NDA 20-152 SERZONE® (Nefazodone HCl) Tablets**  
**Chronology for Patent Term Extension**

<b>Date</b>	<b>Type of Contact</b>	<b>Summary / Description</b>
5/12/92	<u><b>Submission #015</b></u>	Issues and list of Attendees for the scheduled teleconference to discuss our 2/27/92 response to the 1/7/92 FDA letter.
6/11/92	<u><b>Submission #016</b></u>	Post-Hoc exploratory analysis results for Protocol CN104-005.
6/18/92	FDA Teleconference	Discussion of our 2/27/92 (Submission No. 007) response to the Agency's request for Re-Analysis of several Placebo-Controlled Trials (1/7/92 letter) and our proposal for the electronic submission of the individual safety data displays (Submission #13).
6/29/92	<u><b>Submission #017</b></u>	Minutes of teleconference of 6/18/92
7/20/92	FDA Letter	Fax draft of CMC deficiency Letter
8/13/92	<u><b>Submission #018</b></u>	Individual Safety Data Displays are submitted.
8/18/92	<u><b>Submission #019</b></u>	Graphs of the primary efficacy variables for subcenters in studies conducted under Protocols CN104-005, CN104-002-001 and 03A0A-004A-2407.
8/25/92	FDA Letter	Regarding 7/20/92 FAX of CMC deficiency letter.
9/2/92	Teleconference	To discuss the completion (format and content) of the requested safety table templates provided on 9/1.
9/4/92	FAX	Minutes of teleconference of 9/2/92.
10/1/92	<u><b>Submission #020</b></u>	Copies of additional CRF pages found missing from the NDA paper copy during the Image Review Computer System QA review.
10/16/92	<u><b>Submission #021</b></u>	Submission of completed safety table templates (9/1/92 request).
10/23/92	<u><b>Submission #022</b></u>	Printed copies & WP5.1 Diskette of revised safety table templates as requested.
11/18/92	<u><b>Submission #023</b></u>	Response to the 7/17/92 CMC review letter and submission of a modified NDS synthesis and NDS manufacturing site.
12/8/92	<u><b>Submission #024</b></u>	Descriptive dataset information for 2 placebo-controlled trials for use by the statistical reviewer.
12/16/92	<u><b>Submission #025</b></u>	Additional (11/6/92 request) and revised (9/1/92 request) Safety Table Templates.
2/9/93	<u><b>Submission #026</b></u>	Revised descriptive dataset information for 2 placebo-controlled trials for use by the statistical reviewer.
3/4/93	<u><b>Submission #027</b></u>	Submission of WP5.1 documents - Requested Table of All Studies; Table of Controlled Studies; 5 Key Study Summaries; Efficacy Data Tables; Nefazodone Safety Tables Update
3/16/93	FAX from FDA	Requesting Clarification Regarding Cutoff Dates; Enumerating Patients from Crossover Studies; and Patient Exposure Years.

**NDA 20-152 SERZONE® (Nefazodone HCl) Tablets**  
**Chronology for Patent Term Extension**

<b>Date</b>	<b>Type of Contact</b>	<b>Summary / Description</b>
3/29/93	<u><b>Submission #028</b></u>	The following summary tables are submitted: (A) Overview of Efficacy Trials (B) Important Clinical Issues (B-1) Anxiety as a Predictor of Response (B-2) Efficacy of Nefazodone in the Long-Term Treatment of Depression Report (B-3) Nefazodone Overview of Clinical Findings, and (B-4) Nefazodone Summary of Safety Information from Elderly Patients and Subjects
3/30/93	<u><b>Submission #029</b></u>	A request for a teleconference to discuss issues related to the submission of additional safety data and the scheduling of the Advisory Committee meeting.
4/7/93	<u><b>Submission #030</b></u>	Response to the 3/16 Fax.
4/19/93	<u><b>Submission #032</b></u>	Final study reports on studies CN104-053-001 and CN104-068-001 are submitted.
4/23/93	<u><b>Submission #031</b></u>	Patient exposure data for all treatment groups and suicide liability rates for these treatment groups using PEY calculations based on exposure as of Safety Update No. 1.
4/27/93	<u><b>Submission #033</b></u>	Adaptation Table is submitted in response to a 3/29 request.
4/30/93	<u><b>Submission #034</b></u>	Response to a request for "short position papers" on the following safety-related topics: Withdrawal Phenomena and Abuse Potential; Human Reproductive Data; Overdose; Drug-Demographic, Drug-Disease and Drug-Drug Interactions.
5/7/93	<u><b>Submission #035</b></u>	Submission of the Proprietary Name - "Serzone™"
5/10/93	<u><b>Submission #036</b></u>	Patient exposure data for all treatment groups and suicide liability rates for these treatment groups using PEY calculations based on exposure as of 4/15/93.
5/10/93	<u><b>Submission #037</b></u>	Submission of copies of the Word Perfect 5.1 files of the biopharmaceutics reports included in the original NDA.
5/12/93	<u><b>Submission #038</b></u>	Information on the impact on the rate of patient discontinuation of an amendment to Protocol CN104-005 which modified the recommended dosing regimen to discourage rapid runs up to the maximum dose.
5/18/93	<u><b>Submission #039</b></u>	Additional statistical analyses and appendices for Protocol CN104-005.
5/20/93	<u><b>Submission #040</b></u>	A revised Environmental Assessment report.
5/25/93	<u><b>Submission #041</b></u>	To provide a desk copy of the dissolution data submitted in the NDA.
5/25/93	<u><b>Submission #042</b></u>	Provides a proposal for Safety Update No. 2.
5/25/93	<u><b>Submission #043</b></u>	Table of the demographic information and a summary table of the pharmacokinetic parameters for specific studies submitted.

**NDA 20-152 SERZONE® (Nefazodone HCl) Tablets**  
**Chronology for Patent Term Extension**

<b>Date</b>	<b>Type of Contact</b>	<b>Summary / Description</b>
5/26/93	<u><b>Submission #044</b></u>	Revisions to the 1 % AE table in the Safety Table Templates to adjust percentages for gender.
5/27/93	<u><b>Submission #045</b></u>	Demographic information and a summary table of the pharmacokinetic parameters for Study CN104-053.
5/28/93	<u><b>Submission #046</b></u>	Submission of a revised table of "Other Events" (Package Insert) and a table of the "Incidence of AE That Led to Discontinuation in Patients Who Discontinued Due to AE, in Open and Double-Blind Trials" that combined both short-term and long-term experience.
6/2/93	Fax from FDA	Request for justification of the doses used in Segment II rabbit study.
6/3/93	<u><b>Submission #047</b></u>	Submission of reports on MAP studies, clinical pharmacology studies, and a pre-clinical study, all in support of revised labeling.
6/3/93	<u><b>Submission #048</b></u>	Revised draft labeling is submitted.
6/4/93	<u><b>Submission #049</b></u>	Submission of revised table of "Other Events Observed During the Premarketing Evaluation" (draft labeling). Correction to Submission No. 46.
6/4/93	<u><b>Submission #050</b></u>	Electronic SAS datasets on diskette and printed copies of the supporting documentation for three of our placebo-controlled studies.
6/7/93	Teleconference	To discuss our key efficacy trials.
6/8/93	<u><b>Submission #051</b></u>	Response to questions raised in the 5/26 FAX.
6/15/93	<u><b>Submission #052</b></u>	Revised and/or new safety tables requested.
6/16/93	<u><b>Submission #053</b></u>	Justification of the high-dose used in the Segment II rabbit study.
6/17/93	<u><b>Submission #054</b></u>	Submission of comparison of the pharmacologic properties of nefazodone and its principal metabolites.
6/18/93	<u><b>Submission #055</b></u>	Response to 6/15 request for additional tables.
6/21/93	<u><b>Submission #056</b></u>	Additional information concerning Study 2146 is submitted.
6/21/93	<u><b>Submission #057</b></u>	Summary of Postural Hypotension in Nefazodone-Treated Patients is submitted.
6/22/93	<u><b>Submission #058</b></u>	Exploratory Age/Gender Safety and Efficacy Analyses and Race Efficacy Analyses.
6/24/93	<u><b>Submission #059</b></u>	Electronic SAS datasets for Protocol CN104-005.
6/25/93	<u><b>Submission #060</b></u>	Electronic data set in ASCII format for Protocol 030A2-0002.
6/28/93	<u><b>Submission #061</b></u>	Table of PK and pharmacologic profile of Nefazodone and its metabolites and the final study report for Protocol CN104-038.

<b>NDA 20-152 SERZONE® (Nefazodone HCl) Tablets</b> <b>Chronology for Patent Term Extension</b>		
<b>Date</b>	<b>Type of Contact</b>	<b>Summary / Description</b>
6/28/93	<u><b>Submission #062</b></u>	Status of the review of worldwide marketing applications; summary tables of the pharmacology and pharmacokinetics of nefazodone and its metabolites; revised table of All Studies.
6/29/93	<u><b>Submission #063</b></u>	Background information for upcoming Advisory Committee Meeting.
6/30/93	<u><b>Submission #064</b></u>	Submission of additional safety information.
7/2/93	<u><b>Submission #065</b></u>	BMS position regarding confidentiality of information submitted for the Advisory Committee Meeting.
7/2/93	<u><b>Submission #066</b></u>	Additional ANCOVA and CMH analyses for Study 03A0A-003-2191 and Protocol 03A0A-004B.
7/2/93	<u><b>Submission #067</b></u>	Electronic data sets containing mean plasma concentration data for nefazodone and its metabolites from Protocol CN104-021.
7/7/93	<u><b>Submission #068</b></u>	SAS dataset for Protocol CN104-006.
7/9/93	<u><b>Submission #069 &amp; FAX</b></u>	Additional safety information pertaining to certain ECG, clinical laboratory and vital signs measurements.
7/12/93	<u><b>Submission #070</b></u>	ANCOVA results for Study CN104-001-001.
7/15/93	<u><b>Submission #071</b></u>	Copies of the slides BMS intends to present at the Advisory Committee Meeting on 7/19/93.
7/19/93	Meeting	NDA 20-152 is presented to the Psychopharmacologic Drugs Advisory Committee.
7/21/93	<u><b>Submission #072</b></u>	Additional slides presented at the Advisory Committee Meeting are submitted.
8/6/93	<u><b>Submission #073</b></u>	Additional efficacy tables and a list of non-IND studies.
8/6/93	<u><b>Submission #074</b></u>	CMC and clinical rationale for adding 150 and 250 mg tablets to the NDA.
8/6/93	<u><b>Submission #075</b></u>	SAS data sets for six placebo-controlled studies.
8/17/93	FDA Teleconference	With the NDA biopharmaceutics reviewers to discuss issues which arose during the Advisory Committee and their review of this NDA.
8/25/93	<u><b>Submission #076</b></u>	Draft container labels for Serzone Tablets are submitted.
9/1/93	<u><b>Submission #077</b></u>	Official submission of the minutes of the teleconference held on 8/17/93.
9/9/93	<u><b>Submission #078</b></u>	Information requested in teleconference of 8/17/93 is submitted.
9/10/93	<u><b>Submission #079</b></u>	A draft "Summary Basis of Approval" (SBA) is submitted.
9/16/93	<u><b>Submission #080</b></u>	Information on the nefazodone hydrochloride drug substance packaging material.

**NDA 20-152 SERZONE® (Nefazodone HCl) Tablets**  
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<b>Date</b>	<b>Type of Contact</b>	<b>Summary / Description</b>
9/22/93	<u><b>Submission #081</b></u>	Stability data and batch analysis data on batches of Serzone Tablets manufactured with drug substance from Humacao, Puerto Rico facility are submitted.
9/29/93	<u><b>Submission #082</b></u>	Summaries for three biopharm studies, using the format contained in the 9/7/93 FAX are submitted.
10/1/93	<u><b>Submission #083</b></u>	Brief summaries of the three remaining placebo-controlled trials that were not included in Submission No. 027. (030A2-0004/0005; 03A0A-004A; CN104-006)
10/6/93	<u><b>Submission #084</b></u>	Drug Substance synthesis update.
10/14/93	<u><b>Submission #085</b></u>	Response to the 9/17 FAX of CMC deficiencies.
10/20/93	<u><b>Submission #086</b></u>	Worldwide Regulatory status of nefazodone.
10/26/93	<u><b>Submission #087</b></u>	Worldwide Literature update.
10/27/93	<u><b>Submission #088</b></u>	Chronology of submissions to this NDA through Safety Update No. 2.
10/28/93	<u><b>Submission #089</b></u>	Safety Update No. 2 is submitted.
11/17/93	<u><b>Submission #090</b></u>	Revised draft labelling to incorporate information from Safety Update No. 2.
01/04/94	<u><b>Submission #091</b></u>	Response to 12/16 request for updated batch analysis data on Serzone 150 mg and 250 mg tablets.
01/12/94	<u><b>Submission #92</b></u>	Revised Draft Labeling & Drug Interaction Study Reports for Study No. CN104-078-001 and Study No. CN104-057-001.
2/17/94	<u><b>Submission #093</b></u>	Response to a request for a Certificate of Analysis for a New Drug Substance batch made at Humacao.
3/16/94	<u><b>Submission #094</b></u>	FOI-Releasable Environmental Assessment Report and response to reviewer's 3/4 request for additional information.
3/24/94	<u><b>Submission #095</b></u>	Additional information pertaining to the FOI-Releasable Environmental Assessment Report.
05/12/94	<u><b>Submission #096</b></u>	CMC Amendment - Bottle and blister labels for SERZONE tablets.
11/07/94	<u><b>FDA Letter</b></u>	FDA has completed its review and has concluded that the application is APPROVABLE.
11/19/94	<u><b>Submission #097</b></u>	Notification of Intent to Amend
11/17/94	<u><b>Submission #098</b></u>	B-MS response to Approvable Letter (2 Volumes 98.1 / 98.2.
11/22/94	<u><b>Submission #099</b></u>	CMC Amendment - B-MS response to FDA recommendation for revision of dissolution method.
11/23/94	<u><b>Submission #100</b></u>	Proposed Draft Labeling- Response to Approvable Letter.
11/28/94	<u><b>Submission #101</b></u>	Response to FDA Request- Worldwide Literature Update.

NDA 20-152 SERZONE® (Nefazodone HCl) Tablets Chronology for Patent Term Extension		
Date	Type of Contact	Summary / Description
11/28/94	<u>Submission #102</u>	Response to FDA Request - Worldwide Regulatory Status.
12/06/94	<u>Submission #103</u>	Response to FDA Request - Documentation for labeling revisions that affect the "safety" information in the insert.
12/08/94	<u>FDA Meeting</u>	Discussion of proposed labeling.
12/16/94	<u>Submission #104</u>	CMC - Response to CMC issues addressed in approvable letter.
12/22/94	<u>FDA Letter</u>	APPROVAL LETTER AND LABELING